



**UNIVERSITY OF ALASKA**  
Federal Financial Assistance Reports  
Year ended June 30, 2003

**UNIVERSITY OF ALASKA**  
Federal Financial Assistance Reports

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### **Internal Control over Compliance**

The management of the University of Alaska is responsible for establishing and maintaining effective internal control over compliance with requirements of laws, regulations, contracts and grants applicable to federal programs. In planning and performing our audit, we considered the University of Alaska's internal control over comp

University of Alaska  
Schedule of Expenditures of Federal Awards  
Year Ended June 30, 2003

	<u>CFDA #</u>	<u>Pass-through ID #</u>	<u>EXPENDITURES</u>
<b>STUDENT FINANCIAL AID</b>			
<b>Department of Education</b>			
Supplemental Educational Opportunity Grants	84.007		\$ 549,474
Guaranteed Student Loans	84.032		27,835,883
Federal Work-Study Program	84.033		425,310
Pell Grant Program	84.063		8,421,336
<b>Total Student Financial Aid</b>			<u>37,232,003</u>
<b>RESEARCH AND DEVELOPMENT</b>			
<b>Department of Agriculture</b>			
Miscellaneous			
Direct	10.000		344,515
Pass-through University of Nevada	10.000	99-35101-7834	(523)
Pass-through The Oceanic Institute	10.000	MOA DATED 11/26/02	10,836
Pass-through Utah State University	10.000	Subcontract 98-104	(2)
Agricultural Research Service			
Agricultural Research - Basic and Applied Research	10.001		990,511
Animal and Plant Health Inspection Service			
Plant and Animal Disease, Pest Control, and Animal Care	10.025		16,454
Cooperative State Research, Education and Extension Service			
Grants for Agricultural Research, Special Research Grants	10.200		2,177,378
Payments to Agricultural Experiment Stations Under the Hatch Act	10.203		(796)
Grants for Agricultural Research - Competitive Research Grants			
Direct	10.206		428,189
Pass-through University of California, Davis	10.206	00-RA2416-AK	38,050
Animal Health and Disease Research	10.207		3,291
Integrated Programs			
Pass-through Cornell University	10.303	40534-6898	5,217
Pass-through University of California, Davis	10.303	00RA2416-AK	(63)
Cooperative Extension Service	10.500		525,534
Forest Service			
Forestry Research	10.652		34,766
Cooperative Forestry Assistance			
Pass-through State of Alaska	10.664	1033049	287
Natural Resources Conservation Service			
Resource Conservation and Development	10.901		35,198
<b>Total Department of Agriculture</b>			<u>4,608,842</u>
<b>Department of Commerce</b>			
Miscellaneous			
Direct	11.000		132,529
Pass-through North Pacific Research Board	11.000	AGREEMENT	84,507
Pass-through Seward Assoc for Advancement of Science	11.000	R1991-01	9,073
Pass-through North Pacific Research Board	11.000	R0327	12,237
Pass-through North Pacific Research Board	11.000	AWARD 7-5-02 NA16FX2629	16,389
Pass-through North Pacific Research Board	11.000	AWARD JULY 5 2002	52,071
Pass-through North Pacific Research Board	11.000	NA96FX0124	288
Pass-through State of Alaska	11.000	R1991-01	174,684
Pass-through Embry-Riddle Aeronautical University	11.000	AAR-400 SUBAWARD	4,554
Pass-through Div. of Commercial Fisheries Mgmt & Dev.	11.000	RSA 1125037	114,234

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	<u>CFDA #</u>	<u>Pass-through ID #</u>	<u>EXPENDITURES</u>
<b>Department of Commerce continued:</b>			
National Oceanic and Atmospheric Administration			
Geodetic Surveys and Services			
Pass-through State of Alaska	11.400	1135300	\$ 1,500
Sea Grant Support			
Direct	11.417		1,490,905
Pass-through State of Alaska	11.417	0921504	10,000
Coastal Zone Management Administration Awards			
Pass-through University of New Hampshire	11.419	UNH 00-365	30,336
Coastal Zone Management Estuarine Research Reserves	11.420		14,593
Fisheries Development and Utilization Research	11.427		20,815
Undersea Research	11.430		5,839,505
Southeast Area Monitoring and Assessment Program	11.435		28,755
Pacific Fisheries Data Program			
Pass-through State of Alaska	11.437	1135015	58,306
Pass-through State of Alaska	11.437	ADN1135125	40,097
Pass-through State of Alaska	11.437	EN-1115111	34,937
Pacific Coast Salmon Recovery - Pacific Salmon Treaty Program			
Pass-through Exxon Valdez Oil Spill Trustee Cnsl	11.438	RSA EN	(781)
Pass-through State of Alaska	11.438	1135271	23,456
Marine Mammal Data Program			
Direct	11.439		631,222
Pass-through State of Alaska	11.439	R1713-01	62,838
Pass-through Seward Assoc for Advancement of Science	11.439	R1713-01	50,808
Pass-through North Pacific Marine Science Foundation	11.439	NA96FX0124 SFOS 00-135	(5,521)
Pass-through State of Alaska	11.439	NA16FX1270	848,506
Pass-through State of Alaska	11.439	AWARD LTR 6/17/03	290
Pass-through State of Alaska	11.439	ADN 1135086	2,088
Pass-through State of Alaska	11.439	RSA EN 1135010	29,496
Pass-through State of Alaska	11.439	1135114	10,124
Aquaculture Program	11.444		24,758
Unallied Management Projects			
Pass-through State of Alaska	11.454	1125319	14,916
Pass-through State of Alaska	11.454	EN1105208	(218)
Pass-through State of Alaska	11.454	EN1105230	16,488
Pass-through State of Alaska	11.454	EN1105284	(9,314)
Pass-through State of Alaska	11.454	EN1105230	6,857
Pass-through State of Alaska	11.454	EN1115037	(14,916)
Pass-through State of Alaska	11.454	1135347	8,290
Pass-through State of Alaska	11.454	ADN1135156	9,714
Special Oceanic and Atmospheric Projects	11.460		841,862
Unallied Science Program			
Pass-through Seward Assoc for Advancement of Science	11.472	AGRMT DATED 7/9/02	44,618
Pass-through Seward Assoc for Advancement of Science	11.472	LETTER OF AGREEMENT 7/12/02	34,625
Pass-through Seward Assoc for Advancement of Science	11.472	AGRMT 7/9/02; NPRB #40	114,197
Pass-through Seward Assoc for Advancement of Science	11.472	AGRMT 7/9/02; NPRB #36	24,797
Pass-through Seward Assoc for Advancement of Science	11.472	AGRMT 7/99/02; NPRB #39	21,633
Pass-through Seward Assoc for Advancement of Science	11.472	AGRMT 7/2/02	66,506
Pass-through North Pacific Research Board	11.472	R0320	21,100
Fisheries Disaster Relief			
Pass-through State of Alaska	11.477	EN 1125375	49,708
Pass-through State of Alaska	11.477	RSA NO. 1125038	47,265
Coastal Ocean Program	11.478		2,641
National Ocean Service Intern Program			
Pass-through North Pacific Marine Science Foundation	11.480	#10 UNDER NA16FX2629	60,621
<b>Total Department of Commerce</b>			<u>11,208,989</u>

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 Year Ended June 30, 2003

	<u>CFDA #</u>	<u>Pass-through ID #</u>	<u>EXPENDITURES</u>
<b>Department of Defense</b>			
Miscellaneous			
Direct	12.000		\$ 4,663,080
Pass-through State of Alaska	12.000	DAPC49-01-P-0326	504
Pass-through University of Michigan	12.000	M000010	264,147
Pass-through Calspan - UB Research Center, Inc.	12.000	4800-8	(17,769)
Pass-through John Hopkins University	12.000	807939	(1,162)

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	<u>CFDA #</u>	<u>Pass-through ID #</u>	<u>EXPENDITURES</u>
<b>Department of the Interior continued:</b>			
Office of Surface Mining Reclamation and Enforcement continued:			
Pass-through State of Alaska	15.252	EN1022023	\$ (1)
Pass-through State of Alaska	15.252	1030010	7,650
Fish and Wildlife Service			
Fish and Wildlife Management Assistance			
Direct	15.608		81,369
Pass-through LGL Limited	15.608	30842 Subcontract	7,033
Wildlife Conservation and Appreciation	15.617	70181-1-G111	848
Coastal Program	15.630		10,045
Geological Survey			
Assistance to State Water Resources Research Institutes	15.805		71,451
Earthquake Hazards Reduction Program	15.807		1,049,356
U.S. Geological Survey - Research and Data Acquisition	15.808		3,081,282
<b>Total of Department of Interior</b>			6,788,356
<b>Department of Justice</b>			
Miscellaneous			
Pass-through National Opinion Research Center	16.000	6073-ADAM-ANCHORAGE	129,126
Bureau of Justice Statistics			
State Justice Statistics Program for Statistical Analysis Centers	16.550		68,911
Office of Justice Programs			
National Institute of Justice, Office of Justice Programs			
Justice Research, Evaluation, and Development	16.560		69,745
Domestic Anti-Terrorism Technology Development			
Pass-through University of Oklahoma	16.565	SUBCONTRACT NIOJ 2003-45	78,625
Bureau of Justice Assistance			
Local Law Enforcement Block Grants Program	16.592		(18)
Executive Office for Weed and Seed			
Pass-through East Anchorage Weed & Seed Initiative	16.595	SUBCONTRACT 2001-WS-QX-066	6,331
Pass-through East Anchorage Weed & Seed Initiative	16.595	2001-WS-QX-066 SUBCONTRACT	18,788
Community Prosecution and Project Safe Neighborhoods	16.609		16,661
<b>Total Department of Justice</b>			388,169
<b>Department of Labor</b>			
Employment and Training Administration			
Pass-through State of Alaska	17.260	0730117	103,098
<b>Department of State</b>			
Miscellaneous			
Pass-through State of Alaska	19.000	EN#0630081	10,984
Pass-through State of Alaska	19.000	EN# 0630079	23,825
<b>Total Department of State</b>			34,809
<b>Department of Transportation</b>			
Miscellaneous			
Pass-through State of Alaska	20.000	RSA	18,258
Pass-through State of Alaska	20.000	SUBCONTRACT 111601	34,336
Pass-through State of Alaska	20.000	MOA RES-01-015	10,289
Pass-through State of Alaska	20.000	74500	3,611



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	<u>CFDA #</u>	<u>Pass-through ID #</u>	<u>EXPENDITURES</u>
<b>Department of Transportation continued:</b>			
Federal Aviation Administration			
Airport Improvement Program			
Pass-through State of Alaska	20.106	EN2511859	\$ (126)
Pass-through State of Alaska	20.106	EN 2522095	5,770
Aviation Research Grants			
Direct	20.108		37,123
Pass-through Embry-Riddle Aeronautical University	20.108	SUBCONTRACT 12/20/02	34,872
Research and Special Programs Administration			
University Transportation Centers Program			
Pass-through University of Washington	20.701	SUBCONTRACT 217281	5,846
<b>Total Department of Transportation</b>			<u>149,979</u>
<b>General Services Administration</b>			
Federal Citizen Information Center	39.009		<u>4,662,997</u>
<b>National Aeronautics and Space Administration</b>			
Miscellaneous			
Direct	43.000		13,931,327
Pass-through State of Alaska	43.000	AGRMT DATED 7/12/02	636,935
Pass-through State of Alaska	43.000	NAG11055,PO1-0000441082	8,286
Pass-through University of California Berkeley	43.000	AGRMT DATED 7/12/02	173,889
Pass-through University of California Berkeley	43.000	SUBCONTRACT DATED 08/18/00	(17,561)
Pass-through State of Alaska	43.000	ADN1135014	61,951
Pass-through State of Alaska	43.000	EN 1020004	179,668
Pass-through State of Alaska	43.000	RSA EN 1026006	58,913
Aerospace Education Services Program			
Direct	43.001		679,183
Pass-through University of Washington	43.001	478959	25,092
Pass-through JPL	43.001	1241499	43,034
Pass-through JPL	43.001	1250784	4,514
Technology Transfer	43.002		16,366
<b>Total of National Aeronautics and Space Administration</b>			<u>15,801,597</u>
<b>National Foundation on the Arts and the Humanities</b>			
National Endowment for the Arts			
Miscellaneous			
Pass-through Alaska Humanities Forum	45.000	PFG	(4,059)
Promotion of the Arts - Grants to Organizations and Individuals	45.024		5,634
Promotion of the Humanities - Federal / State Partnership			
Pass-through Alaska Humanities Forum	45.129	GRANT 17-02	7,034
<b>Total of National Foundation on the Arts and the Humanities</b>			<u>8,609</u>
<b>National Science Foundation</b>			
Miscellaneous			
Direct	47.000		1,041,195
Pass-through University of California Irvine	47.000	D979617287A	(755)
Pass-through Sea of Dreams Foundation, Inc.	47.000	PFG	37,272
Pass-through Marine Biological Laboratory	47.000	PO 7099 3yrs	(3,636)
Pass-through Idaho State University	47.000	SUBCONTRACT NO. 01-198B	19,208
Pass-through State of Alaska	47.000	1125304	(232)
Engineering Grants	47.041		389,977

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	<u>CFDA #</u>	<u>Pass-through ID #</u>	<u>EXPENDITURES</u>
<b>National Science Foundation continued:</b>			
Mathematical and Physical Sciences			
Direct	47.049		\$ 314,962
Pass-through North Pacific Research Board	47.049	PENDING	9,265
Geosciences			
Direct	47.050		3,602,123
Pass-through Marine Biological Laboratory	47.050	MBL SUBCONTRACT #10705	49,992
Pass-through University of Pittsburgh	47.050	AGRMT #010429-1	7,191
Pass-through Utah State University	47.050	SUBCONTRACT C029979	5,516
Pass-through Marine Biological Laboratory	47.050	PO 5408, SUBC #98283642	34,065
Pass-through University of Michigan	47.050	F004262	1,100
Pass-through SRI International	47.050	53-000045	13,918
Pass-through UCAR Office of Programs	47.050	S02-39004 ASSUMPT	16,065
Computer and Information Science and Engineering	47.070		205,124
Biological Sciences			
Direct	47.074		1,212,976
Pass-through Texas A & M University Research Foundation	47.074	S900300 ACCT 444721-00001	2,824
Pass-through University of California Berkeley	47.074	SA3418-22395PG 500211PO#	12,728
Pass-through University of Florida	47.074	UF02018	101,745
Pass-through Marine Biological Laboratory	47.074	99221642	1,141
Pass-through University of North Carolina at Chapel Hill	47.074	UNC-CH ACCT# 5-37526	21,437
Social, Behavioral, and Economic Sciences			
Direct	47.075		3,247
Pass-through University of Arkansas	47.075	SA0310094	4,325
Education and Human Resources			
Direct	47.076		3,796,085
Pass-through Alaska Federation of Natives Inc.	47.076	LETTER 2/28/02	333,926
Polar Programs			
Direct	47.078		11,396,387
Pass-through Woods Hole Oceanographic Institution	47.078	A100253	64,070
Pass-through University of Texas at Austin	47.078	UTA03-14816	23,715
Pass-through University of Washington	47.078	250234	34,234
<b>Total National Science Foundation</b>			<u>22,751,190</u>
<b>Environmental Protection Agency</b>			
Miscellaneous			
Pass-through Northern Arizona University	66.000	EGR357B	7,632
Pass-through State of Alaska	66.000	RSA EN 2533206	7,598
Pass-through State of Alaska	66.000	RSA 1820188	6,991
Office of Water			
Construction Grants for Wastewater Treatment Works	66.400		2
Wetland Program Development Grants	66.461		68,161
Water Quality Cooperative Agreements	66.463		25,611
Office of Ground Water and Drinking Water			
Capitalization Grants for Drinking Water State Revolving Funds			
Pass-through State of Alaska	66.468	RSA EN 1830507	8,857
Pass-through State of Alaska	66.468	RS1830093 EN 1830506	56,169
Office of Air and Radiation			
Direct	66.500		1,751
Pass-through State of Alaska	66.500	ADN #1830166	22,118



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	<u>CFDA #</u>	<u>Pass-through ID #</u>	<u>EXPENDITURES</u>
<b>Department of Education continued:</b>			
Office of Assistant Secretary for Postsecondary Education Business and International Education Projects	84.153	P153A950091	\$ (3,862)
Office of Assistant Secretary for Educational Research and Improvement Fund for the Improvement of Education			
Direct	84.215		20,775
Pass-through State of Alaska	84.215	RSA EN 0520621	6,834
Pass-through State of Alaska	84.215	RSA EN 0530751	3,374
Office of Elementary and Secondary Education			
Native Hawaiian Curriculum Development	84.297		444,542
Alaska Native Student Enrichment Program	84.322		321,177
Alaska Native Educational Programs			
Direct	84.356		100,578
Pass-through Alaska Humanities Forum	84.356	AGREEMENT DATED 1/2003	18,382
Office of Education Research and Improvement National Institute on the Education of At-Risk Students	84.306		273,677
Office of Special Education and Rehabilitative Services Special Education - Improve Services for Children with Disabilities			
Pass-through 2001 Special Olympics World Winter Games AK	84.325	SUB-CONTRACT H325S99003	27,679
<b>Total Department of Education</b>			<u>1,511,045</u>
<b>Department of Health and Human Services</b>			
Miscellaneous			
Direct	93.000		137,619
Pass-through Alaska Area Native Health Services	93.000	243-00-C-2011	31,663
Pass-through Center to Protect Worker's Rights	93.000	CDC SUBCON 200-2002-00433	16,215
Pass-through Ak Native Tribal Health Consortium	93.000	ANTHC-02-U-4771	17,176
Pass-through State of Alaska	93.000	0630223	61,659
National Institutes of Health			
Biological Response to Environmental Health Hazards	93.113		88,910
Biometry and Risk Estimation			
Pass-through Aleutian Pribilof Islands Association, Inc.	93.115	CONSULT AGREE 9/2001	1,634
Research Related to Deafness and Communication Disorders	93.173		6,538
Alcohol Research Programs			
Direct	93.273	3 R01 AA11446-03S2	445,565
Pass-through University of Connecticut	93.273	PS#676898	(54)
Drug Abuse Research Programs			
Direct	93.279		9,868
Pass-through Univ of New Mexico Health Science Center	93.279	PFG	4,274
Nursing Research			
Pass-through University of Washington	93.361	SUBCON #560795	9,252
Research Infrastructure	93.389		3,601,139
Digestive Diseases and Nutrition Research			
Direct	93.848		32
Pass-through Washington University	93.848	PO#29995F	49,251

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	<u>CFDA #</u>	<u>Pass-through ID #</u>	<u>EXPENDITURES</u>
<b>Department of Agriculture continued:</b>			
Cooperative State Research, Education and Extension Service continued:			
Fund for Rural America-Research, Education, & Extension Activities	10.224		\$ 57,794
Alaska Native & Native Hawaiian Serving Ins. Education Grants	10.228		357,571
Initiative for Future Agriculture and Food Systems			
Pass-through University of Hawaii, HILO	10.302	RCUH LTR JAN 1, 02	13,277
Integrated Programs			
Direct	10.303		1,719
Pass-through University of Idaho	10.303	BJK748UA PO0009731	75,597
Cooperative Extension Service	10.500		1,648,886
Extension Programs for Forestry Production and Marketing	10.501		(727)
Forest Service			
Forestry Research	10.652		12,557
Rural Business Enterprise Grants			
Direct	10.769		94,352
Pass-through Alaska One - HUD Grant	10.769	LTR 032102	78,293
Pass-through Alaska One - HUD Grant	10.769	AWARD SIGNED MAY 25, 2001	1,389
<b>Total Department of Agriculture</b>			<u>4,661,457</u>
<b>Department of Commerce</b>			
Miscellaneous			
Direct	11.000		51,675
Pass-through The Denali Commission	11.000	AGREEMENT	10,629
Pass-through Alaska Marine Safety Education Association	11.000	LETTER 4-11-03	6,520
Economic Development Administration			
Economic Development - Technical Assistance	11.303		118,479
National Oceanic and Atmospheric Administration			
Sea Grant Support	11.417		581,528
Marine Fisheries Initiative			
Pass-through Sloan Foundation	11.433	2003-5-5 CML	26,353
Pacific Coast Salmon Recovery - Pacific Salmon Treaty Program			
Pass-through State of Alaska	11.438	EN1125046	49,621
Marine Mammal Data Program	11.439		40,729
Coastal Ocean Program	11.478		16,822
National Ocean Service Intern Program	11.480		25,799
National Telecommunications and Information Administration			
Public Telecommunications Facilities - Planning and Construction	11.550		(1,520)
Technology Opportunities	11.552		150,180
<b>Total Department of Commerce</b>			<u>1,076,815</u>
<b>Department of Defense</b>			
Miscellaneous			
Direct	12.000		9,950
Pass-through Oklahoma State University	12.000	CATT01-UAA-01	(10,582)
Pass-through Oklahoma State University	12.000	CATT02-UAA-02	124,554
Pass-through Oklahoma State University	12.000	CATT03-UAA-03	19,829
Defense Logistics Agency			
Procurement Technical Assistance for Business Firms	12.002		273,418

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	<u>CFDA #</u>	<u>Pass-through ID #</u>	<u>EXPENDITURES</u>
<b>Department of Defense continued:</b>			
Department of the Navy, Office of Naval Research			
Direct	12.300		\$ 167,031
Pass-through Consortium for Oceanographic Research & Education	12.300	LETTER DATED 10/23/02	15,520
<b>Total Department of Defense</b>			<u>599,720</u>
<b>Department of Housing and Urban Development</b>			
Miscellaneous	14.000		488,260
Community Planning and Development			
Opportunities for Youth - Youthbuild Program			
Pass-through National Youth Sports Program	14.243	2-298 SUB-GRANT	45,175
Community Development Block Grants	14.246		3,390,320
Policy Development and Research			
Community Outreach Partnership Center Program	14.511		208
Alaska Native / Native Hawaiian Institutions Assisting Communities	14.515		170,424
Public and Indian Housing			
Public Housing Capital Fund			
Pass-through State of Alaska	14.872	GRANT AGREEMENT 03G16-011	62,471
<b>Total Department of Housing and Urban Development</b>			<u>4,156,858</u>
<b>Department of the Interior</b>			
Miscellaneous	15.000		219,220
Bureau of Indian Affairs			
Indian Self-Determination Contract Support			
Pass-through Orutsararmuit Native Council	15.024	SERVICE AGREEMENT	(473)
Agriculture on Indian Lands	15.034		1,160
Bureau of Land Management			
Cooperative Inspection Agreements with States and Tribes	15.222		7,786
Operation of ARLIS (AK library & Information Service)	15.DDD		884,546
Office of Surface Mining Reclamation and Enforcement			
Pass-through State of Alaska	15.250	RSA EN 1032014	5,000
National Park Service			
Native American Graves Protection and Repatriation Act	15.922		(116)
<b>Total Department of the Interior</b>			<u>1,117,123</u>
<b>Department of Justice</b>			
Miscellaneous	16.000		(3)
Office of Community Oriented Policing Services			
Public Safety Partnership and Community Policing Grants	16.710		8,197
Office of Justice Programs			
Police Corps			
Pass-through Fairbanks Police Department	16.712	PO 47469	40,129
<b>Total Department of Justice</b>			<u>48,323</u>

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	<u>CFDA #</u>	<u>Pass-through ID #</u>	<u>EXPENDITURES</u>
<b>National Foundation on the Arts and the Humanities continued:</b>			
National Endowment for the Humanities			
Promotion of the Humanities			
Federal / State Partnership			
Pass-through Alaska Humanities Forum	45.129	GRANT #15-01	\$ 474
Division of Preservation and Access	45.149		62,381
Public Programs	45.164		(168)
Extending the Reach Grants to Underserved Areas	45.166		5,165
Institute of Museum and Library Services			
Office of Museum Services			
Museum Assessment Program	45.302		622
Office of Library Services			
Pass-through State of Alaska	45.310	0530597	69,825
Pass-through State of Alaska	45.310	EN# 0528419	1,010
Pass-through State of Alaska	45.310	AGREE DTD 8/01	9,463
Pass-through State of Alaska	45.310	COOPERATIVE AGREEMENT	2,021
Pass-through State of Alaska	45.310	ILC-02-157-105	724
<b>Total National Foundation on the Arts and the Humanities</b>			<b>231,104</b>
<b>National Science Foundation</b>			
Miscellaneous			
Direct	47.000		(3,896)
Pass-through Alaska Federation of Natives Inc.	47.000	AGREEMENT 7/1/02	66,553
Mathematical and Physical Sciences	47.049		66,380
Geosciences			
Direct	47.050		22,566
Pass-through University of Nevada, Reno	47.050	UNR-02-72	179,704

University of Alaska  
 Schedule of Expenditures of Federal Awards  
 Year Ended June 30, 2003

	<u>CFDA #</u>	<u>Pass-through ID #</u>	<u>EXPENDITURES</u>
<b>Environmental Protection Agency</b>			
Miscellaneous			
Direct	66.000		\$ 3,996
Pass-through State of Alaska	66.000	EN 1820508	5,091
Office of Water			
Nonpoint Source Implementation Grants			
Pass-through State of Alaska	66.460	EN1890702	(216)
Office of Ground Water and Drinking Water			
Capitalization Grants for Drinking Water State Revolving Funds			
Pass-through State of Alaska	66.468	EN 1820208	(17)
Office of Air and Radiation			
Air Pollution Control Research Grants			
Pass-through State of Alaska	66.501	RSA 633145	31,250
Pass-through State of Alaska	66.501	EN0613258	(62)
Performance Partnership Grants			
Pass-through State of Alaska	66.605	EN1810508	(524)
Surveys, Studies, Investigations and Special Purpose Grants	66.606		488,334
<b>Total Environmental Protection Agency</b>			<b>527,852</b>
<b>Department of Energy</b>			
Miscellaneous			
Pass-through Inland Northwest Research Alliance	81.000	60-4005	43,482
Pass-through Inland Northwest Research Alliance	81.000	60-4005-001	69,449
Pass-through Inland Northwest Research Alliance	81.000	60-4005-003	59,932
Pass-through Inland Northwest Research Alliance	81.000	60-4005-002	16,149
Office of Energy Efficiency and Renewable Energy			
State Energy Program			
Pass-through State of Alaska	81.041	ADN 0430041	74,375
Staff			

University of Alaska  
 Schedule of Expenditures of Federal Awards  
 Year Ended June 30, 2003

	<u>CFDA #</u>	<u>Pass-through ID #</u>	<u>EXPENDITURES</u>
<b>Department of Education</b>			
Miscellaneous			
Pass-through State of Alaska	84.000	EN-0510647 (CFDA 84.928A)	\$ 1,677
Pass-through State of Alaska	84.000	ADN 0520743	(31)
Pass-through State of Alaska	84.000	ADN0530646	1,300
Pass-through State of Alaska	84.000	0520731	(8,317)
Pass-through National Writing Project Corporation	84.000	92 -AK01	32,660
Pass-through Association of American Colleges and Universities	84.000	LETTER DATED APRIL 28,02	2,039
Office of Vocational and Adult Education			
Adult Education - State Grant Program			
Pass-through State of Alaska	84.002	EN 730003/RSA	33,953
Pass-through State of Alaska	84.002	EN0703552/GRANT2000-08	(266)
Pass-through State of Alaska	84.002	RSA EN 730006	25,174
Pass-through State of Alaska	84.002	EN 720008/2002-15	180
Pass-through State of Alaska	84.002	RSA EN 7563118	22,296
Pass-through State of Alaska	84.002	EN720014	5,659
Pass-through State of Alaska	84.002	EN720017	(18,663)
Pass-through State of Alaska	84.002	730007	28,553
Pass-through State of Alaska	84.002	ADN 0730004	60,155
Pass-through State of Alaska	84.002	EN717524	(7,441)
Office of Elementary and Secondary Education			
Migrant Education - State Grant Program			
Pass-through State of Alaska	84.011	RSA EN 0530502	18,577
Even Start - State Educational Agencies			
Pass-through State of Alaska	84.213	RSA EN530619/CE03.160.01	89,924
Pass-through State of Alaska	84.213	EN0520586/CE02.244.01	332
Pass-through State of Alaska	84.213	RSA CE03.244.01 EN0530618	133,867
Eisenhower Professional Development State Grants	84.281		8,370
Alaska Native Educational Planning	84.320		1,318,842
Alaska Native Home Based Education for Preschool Children			
Direct	84.321		81,286
Pass-through Council of Athabascan Tribal Govts.	84.321	MOA	(2,704)
Alaska Native Educational Programs	84.356		73,938
Handicapped Early Childhood Assistance	84.024		42,890
Office of Special Education and Rehabilitative Services			
State Program Improvement Grants for Children with Disabilities			
Pass-through State of Alaska	84.323	EN 0530730	46,674
Pass-through State of Alaska	84.323	RSA EN 0530674	15,894
Pass-through State of Alaska	84.323	0530503/0530621	13,237
Improve Services & Results for Children with Disabilities	84.325		328,779
Office of Assistant Secretary for Postsecondary Education			
Higher Education - Institutional Aid			
Gaining Early Awareness & Rediness for Undergraduate Programs	84.031		3,547,212
Pass-through Anchorage School District	84.334	15052(PER.SVCS.CONTRACT)	14,075
Pass-through Anchorage School District	84.334	SERVICE AGREEMENT 03 PFG	4,809
Teacher Quality Enhancement Grants	84.336		903,217
Fund for the Improvement of Postsecondary Education			
Direct	84.116		890,741
Pass-through Galena City School District	84.116	P116Z010123	41,114

University of Alaska  
 Schedule of Expenditures of Federal Awards  
 Year Ended June 30, 2003

	<u>CFDA #</u>	<u>Pass-through ID #</u>	<u>EXPENDITURES</u>
<b>Department of Education continued:</b>			
Business and International Education Projects	84.153		\$ (658)
Preparing Tomorrow's Teachers to Use Technology			
Direct	84.342		413,435
Pass-through Intl. Society for Technology in Education	84.342	CONTRACT DTD 7/02	89,597
Office of Assistant Secretary for Vocational and Adult Education			
Vocational Education - Basic Grants to States			
Pass-through State of Alaska	84.048	RSA EN 0530616	88,245
Pass-through State of Alaska	84.048	RSA EN 0530729	47,167
Pass-through State of Alaska	84.048	79230 SITKA	158,900
Pass-through State of Alaska	84.048	0530615	122,133
Pass-through State of Alaska	84.048	EN0590611/EL9915703	(2,719)
Office of English Language Acquisition			
Direct	84.195		762,572
Pass-through Anchorage School District	84.195	T195 B000096	27,476
Office of Assistant Secretary for Educational Research & Improvement			
Fund for the Improvement of Educationa			
Direct	84.215		79,943
Pass-through State of Alaska	84.215	RSA EN 530644	40,709
Pass-through State of Alaska	84.215	0520673	23,984
Pass-through State of Alaska	84.215	0530765	48,805
Pass-through State of Alaska	84.215	RSA EN 0530553	74,785
Pass-through State of Alaska	84.215	EN 0530622	48,648
Pass-through State of Alaska	84.215	EN 0530698	19,366
Pass-through Copper River School District	84.215	FY01-113PO01, FY02-394 PO02	29,117
Indian Education - Special Programs	84.299		28,440
Technology Innovation Challenge Grants			
Direct	84.303		78,380
Pass-through Chugach School District	84.303	R303A980236-01	419,128
Office of Education Research and Improvement			
Postsecondary Education, Libraries, & Lifelong Learning			
Pass-through State of Alaska	84.309	EN0530501	15,275
Office of Innovation and Improvement			
Advanced Placement Program			
Pass-through State of Alaska	84.330	ADN# 0530803	28,049
School Leadership	84.363		166,775
Employment Opportunity for Mental or Physical Disabled			
Pass-through State of Alaska	84.811A	RSA EN 063345	355,709
<b>Total Department of Education</b>			

University of Alaska  
Schedule of Expenditures of Federal Awards  
Year Ended June 30, 2003

	<u>CFDA #</u>	<u>Pass-through ID #</u>	<u>EXPENDITURES</u>
<b>Department of Health and Human Services continued:</b>			
Miscellaneous continued:			
Pass-through State of Alaska	93.000	RSA EN 0633254	\$ 11,420
Pass-through Fairbanks Native Association	93.000	CONTRACT 12/16/02	32,499
Pass-through Fairbanks Native Association	93.000	CONTRACT DATED 08DEC98	(97)
Health Resources and Services Administration			
Primary Care Services - Resource Coordination and Development			
Pass-through State of Alaska	93.130	RSA EN 0633050	5,221
Rural Telemedicine Grants			
Pass-through Ak Native Tribal Health Consortium	93.211	ANTHC-03-C-5239	71,580
Traumatic Brain Injury - State Demonstration Grant Program			
Pass-through State of Alaska	93.234	RSA EN 633728	62,991
Advanced Education Nursing Traineeships	93.358		37,946
Centers of Excellence			
Pass-through University of Washington	93.157	SUBCON # 423393	9,717
Nursing Workforce Diversity	93.178		186,146
Health Education and Training Centers			
Pass-through University of Washington	93.189	NO. 600134	96,693
Health Careers Opportunity Program			
Direct	93.822		194,396
Pass-through University of Washington	93.822	SUBCON # 423136	30,498
Pass-through University of Washington	93.822	SUBCONTRACT 605445	30,226
Rural Health Outreach and Rural Network Development Program			
Direct	93.912		209,800
Pass-through State of Alaska	93.912	633090	104,063
Substance Abuse and Mental Health Services Administration			
Consolidated Knowledge Development and Application Program			
Pass-through State of Alaska	93.230	RSA EN 633106	440,885
Pass-through State of Alaska	93.230	RSA EN 063306/0630014	14,972
Pass-through State of Alaska	93.230	RSA EN 0623157	10,619
Pass-through State of Alaska	93.230	RSA EN 633157	162,782
Pass-through State of Alaska	93.230	EN # 0623171	(217)
Pass-through Fairbanks Native Association	93.230	CONTRACT 11-27-01	278,673
National Institutes of Health			
Drug Abuse Research Programs			
Pass-through Univ of New Mexico Health Science Center	93.279	412803-7810	12,657
Pass-through Univ of New Mexico Health Science Center	93.279	412803	39,938
Pass-through Univ of New Mexico Health Science Center	93.279	SUBAWARD 412804 MOD#1	22,029
Nursing Research			
Pass-through State of Alaska	93.631	RSA EN 6233296	64,958
Centers for Disease Control and Prevention			
Investigations and Technical Assistance			
Pass-through State of Alaska	93.283	RSA EN 633078 ADN 630121	52,564
Pass-through State of Alaska	93.283	RSA EN 633062/0630119	958
Administration for Children and Families			
Temporary Assistance for Needy Families			
Pass-through State of Alaska	93.558	RSA EN 633199	47,128
Pass-through State of Alaska	93.558	EN 0623047/RSA	(15,866)
Pass-through State of Alaska	93.558	RSA EN 0623069	(79)
Pass-through State of Alaska	93.558	EN 0623048/RSA	82
Pass-through State of Alaska	93.558	EN 0623048/RSA	1,641
Pass-through State of Alaska	93.558	RSA NO. 0620057/EN0623032	333
Pass-through State of Alaska	93.558	ADN0630108	62,440

University of Alaska  
Schedule of Expenditures of Federal Awards  
Year Ended June 30, 2003

	<u>CFDA #</u>	<u>Pass-through ID #</u>	<u>EXPENDITURES</u>
<b>Department of Health and Human Services continued:</b>			
Developmental Disabilities Education, Research, and Service	93.632	#90DD0492/05	\$ 379,342
Foster Care - Tithel IV-E			
Pass-through State of Alaska	93.658	EN# 623115	(4,450)



**UNIVERSITY OF ALASKA**

Notes to Schedule of Expenditures of Federal Awards

June 30, 2003

**(1) General**

The accompanying Schedule of Expenditures of Fede



**UNIVERSITY OF ALASKA**  
Schedule of Findings and Questioned Costs  
June 30, 2003

**(1) Summary of Auditor's Results**

- a. An unqualified independent auditors' report was issued on the financial statements of the University of Alaska.

**UNIVERSITY OF ALASKA**

Schedule of Findings and Questioned Costs

June 30, 2003

**Condition** – The University submitted a \$270,868 request for reimbursement to the grantor; however, the University had not incurred costs prior to the request of this amount.

**Questioned Costs** – None



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**Independent Auditors' Report on Compliance and on Internal Control  
over Financial Reporting Based on an Audit of Financial Statements  
Performed in Accordance with *Government Auditing Standards***

The Board of Regents  
The University of Alaska:

We have audited the financial statements of the University of Alaska as of and for the year ended June 30, 2003, and have issued our report thereon dated September 30, 2003. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

**Compliance**

As part of obtaining reasonable assurance about whether the University of Alaska's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grants, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance that are required to be reported under *Government Auditing Standards*.

**Internal Control over Financial Reporting**

In planning and performing our audit, we considered the University of Alaska's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinion on the financial statements and not to provide assurance on the internal control over financial reporting. Our consideration of the internal control over financial reporting would not necessarily disclose all matters in the internal control over financial reporting that might be material weaknesses. A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that misstatements in amounts that would be material in relation to the financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control over financial reporting and its operation that we consider to be material weaknesses.

This report is intended for the information of the Board of Regents, management and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.



September 30, 2003



KPMG LLP, a U.S. limited liability partnership, is the U.S. member firm of KPMG International, a Swiss cooperative.